PTO/SB/05 (03-01)

Approved for use through 10-31-2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY
PATENT APPLICATION
TRANSMITTAL

X-1015 US Attorney Docket No. First Inventor Daniel J. Ferris 0 ۵ Title Method and Apparatus for a Locally Regulated Circuit S

(Only for new nonprovisional applications under 37 CFR 1.53(b)) Express Mail Label No. EV 000334057 US Commissioner for Patents P.O. Box 1450; Mail Stop Patent Application Alexandria, Virginia 22313-1450 APPLICATION ELEMENTS ADDRESS TO: See MPEP chapter 600 concerning utility patent application contents. 6. CD-ROM or CD-R in duplicate, large table or Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing) Computer Program (Appendix) 7. Nucleotide and/or Amino Acid Sequence Submission Specification (Total Pages 24 (preferred arrangement set forth below)
- Descriptive title of the Invention (if applicable, all necessary) Computer Readable Copy (CRC) · Cross References to Related Applications - Statement Regarding Fed sponsored R & D Specifiation Sequence Listing on: b. CD-ROM or CD-R (2 copies); or Reference to sequenct listing, a table, or a computer program listing appendix paper -Background of the Invention Statements verifying identity of above copies - Brief Summary of the Invention - Brief Description of the Drawings (if filed) ACCOMPANYING APPLICATION PARTS - Detailed Description - Claim(s) Assignment Papers (cover sheet & document(s)) - Abstract of the Disclosure 37 CFR 3.73(b) Statement Power of Attorney (when there is an assignee) Drawing(s) (35 USC 113) [Total Sheets 03 10. English Translation Document (if applicable) 4. Oath or Declaration Total Pages 01 Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations Newly Executed (original or copy) **Preliminary Amendment** 12. Copy from a prior application (37 CFR §1.63(d)) (for continuation/divisional with Box 17 completed) Return Receipt Postcard (MPEP 503) 13. (Should be specifically itemized) Certified Copy of Priority Document(s) **DELETION OF INVENTOR(S)** (if foreign priority is claimed) Signed statement attached deleting inventor(s) named in the prior application, 15. Nonpublication Request under 35 U.S.C 122 (b)(2)(B). Applicant must attach form PTO/SB/35 see 37 CFR 1.63(d)(2) and 1.33(b). òr its equivalent. Application Data Sheet. See 37 CFR 1.76 16. Other: 17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) of prior application No: Examiner Prior application information: Group / Art Unit: For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 18. CORRESPONDENCE ADDRESS Customer Number or Bar Code Label Correspondence address below Attn: Kim Kanzaki Name Address Citv State Zip Code Telephone 408-879-6149 408-377-6137 Fax Country Kim Kanzaki Name (Print/Type) Registration No. (Attorney/Agent) 37,652 December 16, 2003 Signature Date

Burden Hour Statement: This form is estimated to take 0.2 hours of complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, P.O. Box 1450, Alexandria, Virginia, 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Mail Stop: Patent Application, P.O. Box 1450, Alexandria, Virginia, 22313-1450.

•	ь.	•
υ	υ	Э

PTO/SB/17 (01-03)
Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Panerwork Reduct	ion Act of 1995, no persons are require	Lto respond to a collection of infor	mation unless it displays a valid OMB control number		
		Complete if Known			
FEE TRAN	ISMITTAL	Applicati n Number	Unknown		
for EV	2002	Filing Date	December 16, 2003		
for FY 2003 Effective 01/01/2003. Patent fees are subject to annual revision		First Named Inventor	Daniel J. Ferris		
<u> </u>		Examiner Name	Unknown		
Applicant claims small entity status. See 37 CFR 1.27		Art Unit	Unknown		
TOTAL AMOUNT OF PAYMENT	(\$) 896.00	Attorney Docket No.	X-1015 US		

METHOD OF PAYMENT (check all that apply)		FE	E CALCULATION (continued)	
1. X Deposit Account:	Large Ent		EES	
Deposit Account Number 24-0040	Fee Fe	e (\$)	Fee Description	Fee Paid
Deposit	1051	130	Surcharge - late filing fee or oath	
Name	1052	50	Surcharge - late provisional filing fee or cover sheet.	*
The Commissioner is authorized to: (check all that apply) The Charge fee(s) indicated below The Commissioner is authorized to: (check all that apply) The Commissioner is authorized to: (check all that apply)	147	2,520	For filing a request for reexamination	
X Charge fee(s) indicated below X Credit any overpayments X Charge any additional fee(s) during the pendency of this application	1812	920*	Requesting publication of SIR prior to	
Charge fee(s) indicated below, except for the filing fee	1805	1,840*	Examiner action Requesting publication of SIR after	
to the above-identified deposit account.		1,040	Examiner action	
FEE CALCULATION	1251	110	Extension for reply within first month	
1. BASIC FILING FEE	1252	420	Extension for reply within second month	
	1253	950	Extension for reply within third month	
Large Entity Fee Fee Description Fee Paid	1254	1,480	Extension for reply within fourth month	
Code (\$)	1255	2,010	Extension for reply within fifth month	
1001 770 Utility filing fee \$770	1401	330	Notice of Appeal	
1002 320 Design filing fee	1402	330	Filing a brief in support of an appeal	
1003 490 Plant filing fee 1004 770 Reissue filing fee	1403	290	Request for oral hearing	
1005 160 Provisional filing fee	1451	1,510	Petition to institute a public use proceeding	
	1452	110	Petition to revive - unavoidable	
SUBTOTAL (1) (\$) 770.00	1453	1,330	Petition to revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSU	1501	1,240	Utility issue fee (or reissue)	
Extra Claims below Fee Pai	1460	130	Petitions to the Commissioner	
	1807	50	Processing fee under 37 CFR 1.17(q)	
Indep. Claims	1806	180	Submission of Information Disclosure Stmt	
Large Entity	8021	40	Recording each patent assignment per property (times number of properties)	\$40
Fee Fee Fee Description Code (\$)	1809	770	Filing a submission after final rejection (37 CFR 1.129(a))	
1202 18 Claims in excess of 20 1201 86 Independent claims in excess of 3 1203 290 Multiple dependent claim, if not paid	1810	770	For each additional invention to be examined (37 CFR 1.129(b))	,
1204 86 "Reissue independent claims over original patent	1801	770	Request for Continued Examination (RCE)	
1205 18 **Reissue claims in excess of 20 and over original patent	Other fe	ee (specify)		
SUBTOTAL (2) (\$) 86.00	*Reduc	ed by Basic	c Filing Fee Paid SUBTOTAL (3) (\$)	40.00
**or number previously paid, if greater; For Reissues, see above				

SUBMITTED BY Complete (if applicable) Registration No. 408-879-6149 Name (Print/Type) Kim Kanzaki 37,652 Telephone (Attorney/Agent) Signature Date 12-16-2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and auth rizati non PTO-2038.

This collection of information is required by 37 CFR 1.77 and 1.27. The information is required to botain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia, 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia, 22313-1450.

NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)

First Named Inventor		Daniel J. Ferris		
Title	Method and Apparatus for a Locally Regulated Circu			
Atty Docket Number X-1015 US		X-1015 US		

PTO/SB/35 (08-03)

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

December 16, 2003

Date

Kim Kanzaki

Reg. No. 37,652

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment** f this application (35 U.S.C. 122(b)(2)(B)(iii)).

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, Virginia, 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia, 22313-1450.